

FORM PTO-1390 (REV. 01-2003) US DEPARTMENT OF COMMERCE, PATENT & TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 125493
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/551,136
INTERNATIONAL APPLICATION NO. PCT/JP2004/004617	INTERNATIONAL FILING DATE March 31, 2004	PRIORITY DATE CLAIMED March 31, 2003
TITLE OF INVENTION MASK BLANK, MANUFACTURING METHOD OF MASK BLANK, MANUFACTURING METHOD OF TRANSFER MASK AND MANUFACTURING METHOD OF SEMICONDUCTOR DEVICE		
APPLICANT(S) FOR DO/EO/US Yasushi OKUBO; Yasunori YOKOYA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none"> 1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). c. <input type="checkbox"/> The International Application was filed in English. 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
<ol style="list-style-type: none"> 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> International Search Report and Letter to the Official Draftsperson: 		

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/551,136	INTERNATIONAL APPLICATION NO. PCT/JP2004/004617	ATTORNEY'S DOCKET NUMBER 125493		
21. <input type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY		
BASIC NATIONAL FEE (37 CFR 1.492(a)): \$ 300.00		\$		
SEARCH FEE (37 CFR 1.492(b)(1)-(3)):		\$		
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage \$ 0.00				
International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA \$ 100.00				
International search report provided to USPTO no later than the time at which the search fee is paid \$ 400.00				
All situations not provided for above \$ 500.00				
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)):		\$		
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage \$ 0.00				
All situations not provided for above \$ 200.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$130		
TOTAL PAGES OF APPLICATION OVER 100 (_____ - 100)	÷ 50	= †	x 250 =	\$
†round up to next integer				
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$
TOTAL CLAIMS	9 - 20	= 0	x 50.00 =	\$0
INDEPENDENT CLAIMS	4- 3	= 1	x 200.00 =	\$200
MULTIPLE DEPENDENT CLAIM(S)(if applicable)			+ 360.00 =	\$
TOTAL OF ABOVE CALCULATIONS =				\$330
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½ .		\$		
		SUBTOTAL = \$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		\$130		
		TOTAL NATIONAL FEE = \$		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$		
01/09/2006 RAYPARISH 00000085 10551136		TOTAL FEES ENCLOSED = \$460		
01 FC 1617 130.00 OP 02 FC 1614 200.00 OP 03 FC 1618 130.00 OP		Amount to be refunded: \$ charged: \$		
a. <input checked="" type="checkbox"/> Check No. 174759 in the amount of \$460 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.				
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC Customer Number: 25944				
 NAME: James A. Oliff REGISTRATION NUMBER: 27,075				
Date <u>January 5, 2006</u> NAME: Daniel A. Tanner REGISTRATION NUMBER: 54,734				

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Yasushi OKUBO et al.

Application No.: 10/551,136

Filed: January 5, 2006

Docket No.: 125493

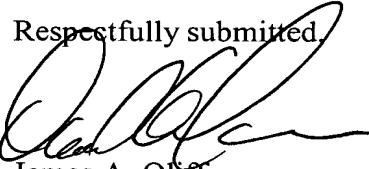
For: MASK BLANK, MANUFACTURING METHOD OF MASK BLANK,
MANUFACTURING METHOD OF TRANSFER MASK AND
MANUFACTURING METHOD OF SEMICONDUCTOR DEVICE

LETTER TO THE OFFICIAL DRAFTSPERSON

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please substitute the attached 6 sheets of formal drawings depicting Figures 1-11 for
the corresponding drawings filed with the application.

Respectfully submitted,

James A. Oliff
Registration No. 27,075

Daniel A. Tanner
Registration No. 54,734

JAO:DAT/per

Date: January 5, 2006

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DEPOSIT ACCOUNT USE
AUTHORIZATION
Please grant any extension
necessary for entry;
Charge any fee due to our
Deposit Account No. 15-0461